



公司註冊處
COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

**ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE
(Chapter 615)**

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance ("AMLO"), disciplinary action set out below has been taken by the Registrar of Companies against the following trust or company service provider licensee:

Licence No.	TC000104
Name of Licensee	LFDI SECRETARIES LIMITED
Contravention	<ol style="list-style-type: none">(1) Contravention of Condition 1 of the licence imposed by the Registrar pursuant to section 53J of the AMLO, namely, the Licensee has failed to ensure that a new Compliance Officer or Money Laundering Reporting Officer (as the case may be) is appointed within 7 days where a vacancy occurs in the two posts.(2) Contravention of section 53W(1) of Part 5A of the AMLO, namely, the Licensee has failed to notify the Registrar of changes in its particulars within 1 month beginning on the date on which the changes take place.(3) Contravention of section 19(1) of Schedule 2 to the AMLO, namely, the Licensee has failed to establish and maintain effective procedures for determining whether a customer or a beneficial owner of a customer is a politically exposed person.(4) Contravention of section 23 of Schedule 2 to the AMLO, namely, the Licensee has failed to take all reasonable measures to ensure that proper safeguards exist to prevent a contravention of any requirement under Part 2 or 3 of Schedule 2; and to mitigate money laundering and terrorist financing risks.
Date of Decision	6 May 2024
Disciplinary Action Taken	Public reprimand and imposition of a pecuniary penalty of HK\$25,000.

***** END *****